

24 NCAC 06A .0227 DUTY TO REPORT

Each Responsible Party shall promptly report to the Commission as soon as practicable, but in no event later than five Days after discovery:

- (1) Any criminal charge or disciplinary proceeding commenced by a domestic gaming regulator or law enforcement authority in connection with gaming activities or operations against (i) that Responsible Party; (ii) its Key Persons involved in regulated activity in North Carolina; or (iii) its officers or directors who are directly involved in the operation, management, or control of sports wagering or who exercises substantial influence or control over the sports wagering activities in any domestic jurisdiction.
- (2) All changes in Key Persons. All new Key Persons shall consent to a Background Investigation and compliance with the Act and these Rules.
- (3) Any material deficiency with respect to Responsible Party's compliance with these Rules or the Act.

*History Note: Authority G.S. 18C-114(a)(14);
Previously adopted as Rule 1B-027;
Eff. January 8, 2024;
Readopted Eff. March 27, 2024.*